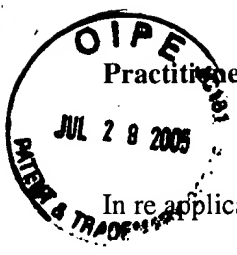


3624



Practitioner's Docket No. 2955/103

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Evelyn et al.

Application No.: 09/668,255 Group No.: 3624
Filed: September 21, 2000 Examiner: Colbert, E.
For: System and Method for Pricing and Allocation of Commodities or Securities

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is a supplemental response for this application.

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

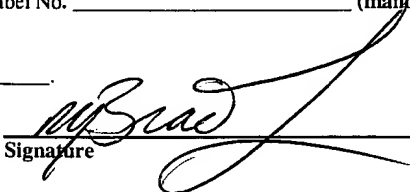
37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____ - _____


Signature

Date: July 26, 2005

M. Brad Lawrence
(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		
TOTAL	48	- 74	= 0	x \$ 50.00	= \$		0.00
INDEP.	3	- 3	= 0	x \$ 200.00	= \$		0.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$ 0.00	= \$		0.00
				TOTAL			
				ADDIT. FEE	\$		0.00

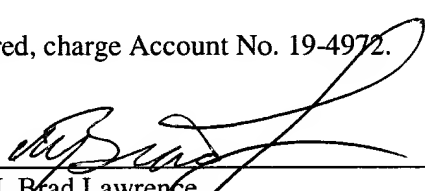
No additional fee for claims is required.

FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 19-4972.

If an additional fee for claims is required, charge Account No. 19-4972.

Date: July 26, 2005


 M. Brad Lawrence
 Registration No. 47,210
 BROMBERG & SUNSTEIN LLP
 125 Summer Street
 Boston, MA 02110-1618
 US
 617-443-9292
 Customer No. 002101



MBL/dlc

Please Date Stamp and Return

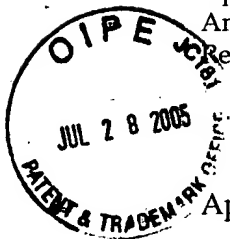
The Commissioner for Patents has received from Bromberg & Sunstein LLP the following re:

Inventor:	Evelyn et al.	Docket No.:	2955/103
Title:	System and Method for Pricing and Allocation of Commodities or Securities	Art Unit:	3624
		Examiner	Colbert, E.
Serial/Patent No.:	09/668,255	Date:	July 26, 2005
Filing/Issue Date:	September 21, 2000	Express Mail No.:	

Documents:

- | | |
|--|---|
| <input type="checkbox"/> New Application Transmittal | <input checked="" type="checkbox"/> Amendment Transmittal |
| <input type="checkbox"/> Provisional Application Cover Sheet | <input type="checkbox"/> Amendment (Preliminary) |
| <input type="checkbox"/> Description- pages | <input checked="" type="checkbox"/> Response to OA of June 28, 2005 |
| <input type="checkbox"/> Claims- pages | <input type="checkbox"/> IDS & References |
| <input type="checkbox"/> Abstract | <input type="checkbox"/> Petition for month extension |
| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> Issue Fee Transmittal & Form PTOL-85b |
| <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i) | <input type="checkbox"/> Payment of Maintenance Fee |
| <input type="checkbox"/> sheets of drawings | <input type="checkbox"/> Assignment/Recordation Form Cover Sheet |
| <input type="checkbox"/> <input type="checkbox"/> formal <input type="checkbox"/> informal | <input type="checkbox"/> Check in the amount of \$ |
| <input type="checkbox"/> Declaration & Power of Attorney | <input type="checkbox"/> Completion of Filing Requirements |
| <input type="checkbox"/> <input type="checkbox"/> executed <input type="checkbox"/> unexecuted | <input type="checkbox"/> Transmittal of Formal Drawings |
| <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | <input type="checkbox"/> |

Application Serial No.: 09/668,255
Amendment dated July 26, 2005
Response to Office Action dated June 28, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Evelyn et al. Att'y Docket: 2955/103
Serial No: 09/668,255 Art Unit: 3624
Date Filed: September 21, 2000 Examiner: Colbert, E.
Invention: **SYSTEM AND METHOD FOR PRICING AND ALLOCATION OF
COMMODITIES OR SECURITIES**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 26, 2005.


M. Brad Lawrence

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL RESPONSE

Dear Sir:

In response to the office action of June 28, 2005 in the above-identified patent application, Applicants respectfully request consideration of the patent application pursuant to the following discussion.

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 19 of this paper.